



DT17 Rec'd PCT/PTO 24 JUL 2002

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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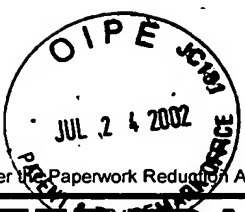
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application	10/089,037	
	Filing Date	March 25, 2002/1A:9/22/00	
	First Named	PIPER, Anthony George	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	8	Attorney Docket Number	DPC0010

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Response Card
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric J. Groen, Reg. No. 32,230 Baker & Daniels
Signature	
Date	July 19, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 7/19/02			
Typed or printed name	Eric J. Groen		
Signature		Date	July 19, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



<b>FEE TRANSMITTAL</b> for FY 2002 <i>Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	10/089,037
		Filing Date	March 25, 2002/IA:9/22/00
		First Named Inventor	PIPER, Anthony George
		Examiner Name	
		Group Art Unit	
		Attorney Docket No.	DPC0010
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>\$65.00</b>	

<b>METHOD OF PAYMENT</b> (check all that apply)				<b>FEE CALCULATION</b> (continued)					
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None				<b>3. ADDITIONAL FEES</b>					
<input checked="" type="checkbox"/> Deposit Account:				<b>Large Entity</b> <b>Small Entity</b>					
Deposit Account Number: 02-0387				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account Name: Baker & Daniels				105	130	205	65	Surcharge - late filing fee or oath	65.00
The Commissioner is authorized to: (check all that apply)				127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments				139	130	139	130	Non - English specification	
<input type="checkbox"/> Charge any additional fee(s) during the pendency of this application				147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.				112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
				115	110	215	55	Extension for reply within first month	
				116	400	216	200	Extension for reply within second month	
				117	920	217	460	Extension for reply within third month	
				118	1,440	218	720	Extension for reply within fourth month	
				128	1,960	228	980	Extension for reply within fifth month	
				119	320	219	160	Notice of Appeal	
				120	320	220	160	Filing a brief in support of an appeal	
				121	280	221	140	Request for oral hearing	
				138	1,510	138	1,510	Petition to institute a public use proceeding	
				140	110	240	55	Petition to revive - unavoidable	
				141	1,280	241	640	Petition to revive - unintentional	
				142	1,280	242	640	Utility issue fee (or reissue)	
				143	460	243	230	Design issue fee	
				144	620	244	310	Plant issue fee	
				122	130	122	130	Petitions to the Commissioner	
				123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
				126	180	126	180	Submission of Information Disclosure Statement	
				581	40	581	40	Recording each patent assignment per property (times number of properties)	
				146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
				149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
				179	740	279	370	Request for Continued Examination (RCE)	
				169	900	169	900	Request for expedited examination of a design application	
				Other fee (specify) _____					
<b>1. BASIC FILING FEE</b>				<b>SUBTOTAL (3)</b>					
<b>Large Entity</b> <b>Small Entity</b>				<b>\$65.00</b>					
Fee Code	Fee (\$)	Fee Code	Fee (\$)						
101	740	201	370	Utility filing fee					
106	330	206	165	Design filing fee					
107	510	207	255	Plant filing fee					
108	740	208	370	Reissue filing fee					
114	160	214	80	Provisional filing fee					
<b>SUBTOTAL (1)</b>									
<b>2. EXTRA CLAIM FEES FOR UTILITY AND</b>									
<b>Extra Claims</b>				<b>Fee from below</b> <b>Fee Paid</b>					
Total Claims	-20** =	0	X	0.00	=	0.00			
Independent Claims	-3** =	0	X		=	0.00			
Multiple Dependent					=				
<b>Large Entity</b> <b>Small Entity</b>									
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<b>Fee Description</b>					
103	18	203	9	Claims in excess of 20					
102	84	202	42	Independent claims in excess of 3					
104	280	204	140	Multiple dependent claim, if not paid					
109	84	209	42	Issue independent claims over original patent					
110	18	210	9	** 35 USC 413(d) claims in excess of 20 and over original patent					
<b>SUBTOTAL (2)</b>				<b>\$0.00</b>					
**or number previously paid, if greater; For Reissues, see above				*Reduced by Basic Filing Fee Paid					

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>			
Name (Print/Type)	Eric J. Groen	Registration No. (Attorney/Agent)	32,230	Telephone	(574)234-4149		
Signature		Date	July 19, 2002				

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/089,037	FIRST NAMED APPLICANT Anthony George	ATTY. DOCKET NO. DPC0010
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27187  
 BAKER & DANIELS  
 205 W. JEFFERSON BOULEVARD  
 SUITE 250  
 SOUTH BEND, IN 46601

INTERNATIONAL APPLICATION NO. PCT/GB00/03639	
I.A. FILING DATE 09/22/2000	PRIORITY DATE 09/25/1999

CONFIRMATION NO. 9571  
 371 FORMALITIES LETTER



\*OC000000008141842\*

Date Mailed: 05/20/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Small Entity Statement

RECEIVED

MAY 28 2002

BAKER &amp; DANIELS

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

REPLY DUE: 7-20-02  
 DOCKET 1 *UP* DOCKET 2 *me*

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/089,037	PCT/GB00/03639	DPC0010

FORM PCT/DO/EO/905 (371 Formalities Notice)